

18351 U.S. PTO  
121003

IN THE UNITED STATES PATENT AND TRADEMARK

MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney's Docket No.: HSJ9-2003-0115US1

031356 U.S. PTO  
10/733980  
121003

FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of:

Applicant: Justin Jia-Jen Hwu et al.

For (Title): **DUAL FUNCTION ARRAY FEATURE FOR CMP PROCESS CONTROL  
AND INSPECTION**

ENCLOSURES

- ☒ 22 page application including specification, claims and abstract;
- ☒ 4 sheets (Figs. 1-7) of ☒ informal/☐ formal drawings;
- ☒ A Declaration, Power of Attorney & Petition (☐ signed/☒ unsigned);
- ☒ A postcard for return to us as proof of receipt of the referenced documents.

and

- ☐ An Assignment of the invention with an assignment cover sheet;
- ☐ Applicant claims small entity status (Under 37 CFR 1.27);
- ☒ IDS (form PTO-1449) and copies of references;
- ☐ An Associate Power of Attorney;
- ☐ A certified copy of the priority document (Under 35 USC 119);
- ☐ A Power of Attorney by Assignee;
- ☐ Other: \_\_\_\_\_.

TYPE OF FILING

- ☐ This application claims benefit of an earlier filed U.S. Patent Application (35 USC 120).
- ☐ This application claims benefit of the priority date of an earlier filed provisional patent application (35 USC 119).
- ☒ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

CERTIFICATE OF MAILING (37 CFR 1.10(a))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EV3265\857735US addressed to the MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 10, 2003 by Patricia Beilmann.  
Date: December 10, 2003

*Patricia Beil*

## FEE CALCULATION

The filing fee has been calculated as shown below:

	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Design Patent	\$170		\$	\$340	\$
BASIC FEE Utility Patent	\$385		\$	\$770	\$770
EXTRA FEES	RATE		FEE	RATE	FEE
Total claims	22	minus 20 =	2	x9 =	\$36
Independent Claims	2	minus 3 =	0	x43 =	\$0
<input type="checkbox"/> Multiple Dep. Claim				+145 =	\$
<input checked="" type="checkbox"/> Assignment				+40 =	\$
<input checked="" type="checkbox"/> Rule 53 Surcharge				+65 =	\$
TOTAL			\$		\$976

## FEE PAYMENT

- ☐ Attached is Check No. \_\_\_\_\_ in the sum of \$ \_\_\_\_\_ to cover the filing fee.  
☐ Please charge Account No. **08-3240** the sum of \$ \_\_\_\_\_ .00.

## FEE DEFICIENCY


- ☐ Please charge Account No. **08-3240** in the sum of \$ \_\_\_\_\_ .00.  
☒ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. **08-3240**:  
    ☒ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.  
    ☐ Assignment Recordal fees.  
☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. **08-3240**.  
☐ A duplicate copy of this sheet is enclosed.

Dated: December 10, 2003

Respectfully submitted,

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